

# SMOS LIPP Performance Analysis with MIRAS Data from Validation Campaigns

(SO-HO-DME-LIPP-0064)



## LIPP Team

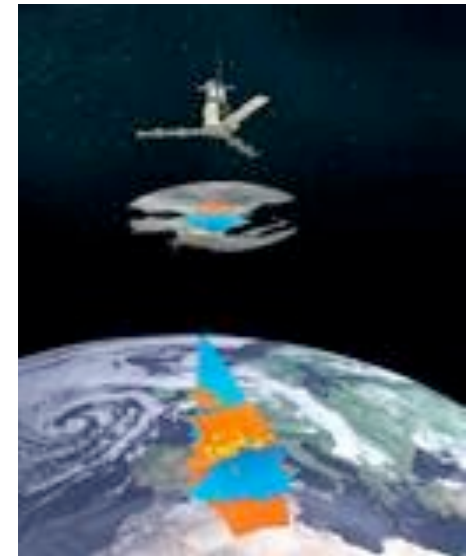
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Henrique Candeias<sup>(1)</sup>, Sofia Freitas<sup>(1)</sup>,  
Nuno Catarino<sup>(1)</sup>, Bruno Lucas<sup>(1)</sup>,  
José Freitas<sup>(2)</sup>

(1) Deimos Engenharia

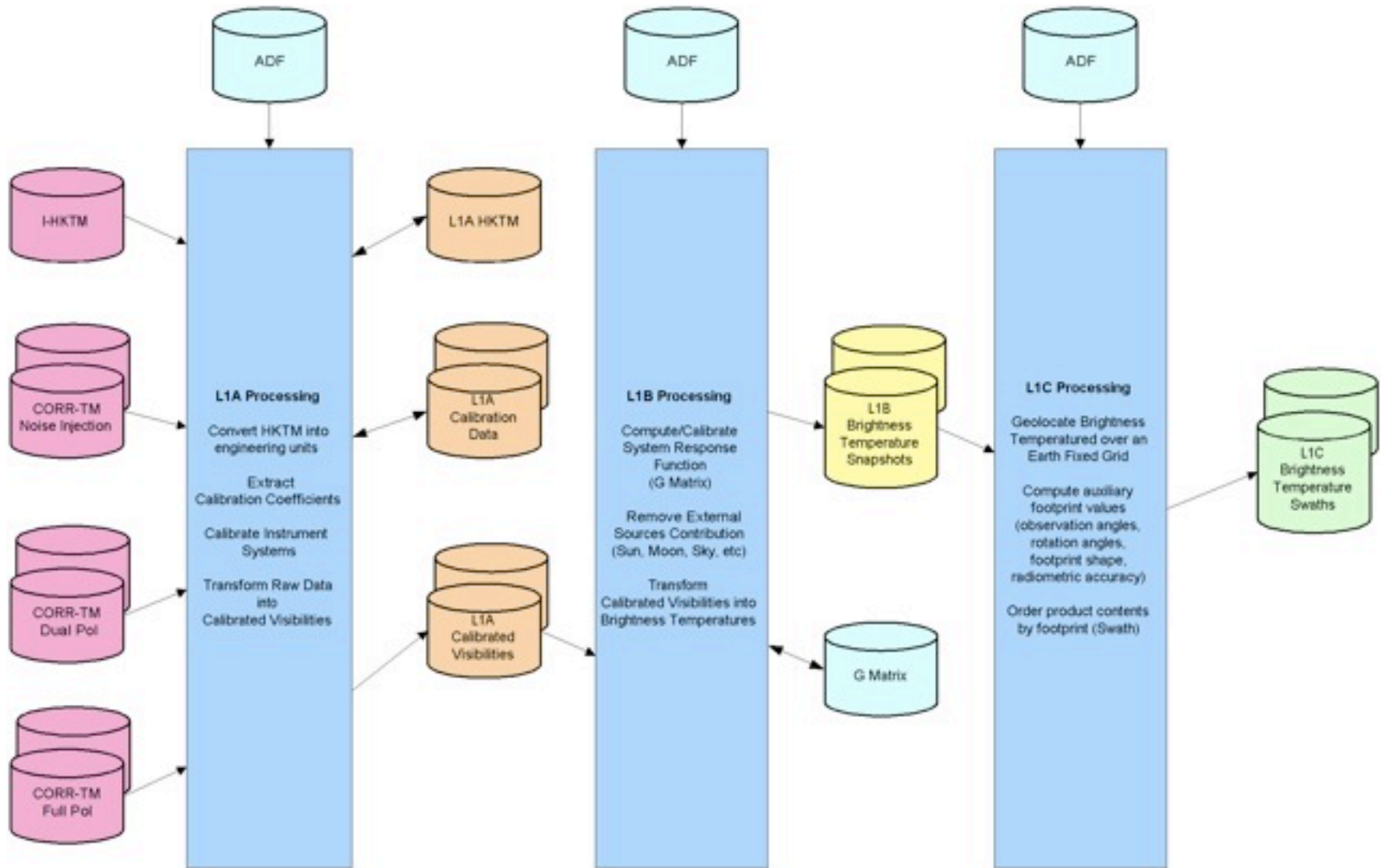
(2) Critical Software



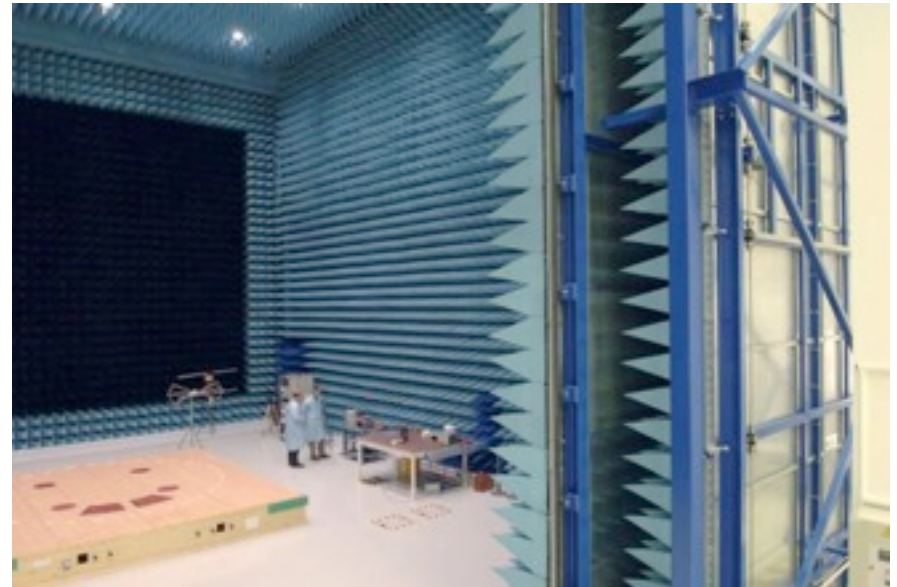
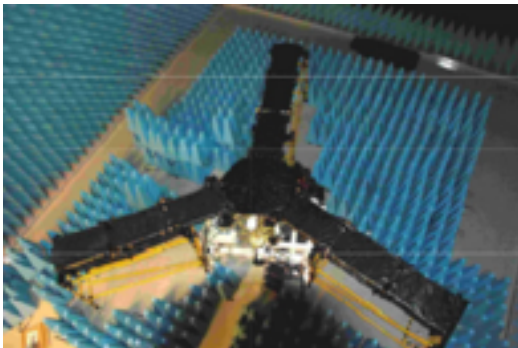
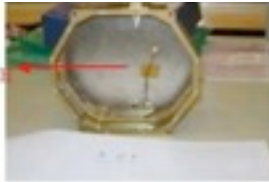
|                        |   |
|------------------------|---|
| Instrument             | Microwave Imaging Radiometer using Aperture Synthesis – MIRAS   |
| Instrument concept     | Passive microwave 2D-interferometer   |
| Frequency              | L-band (21 cm-1.4 GHz)  |
| Number of receivers    | 69  |
| Receiver spacing       | 0.875 lambda = 18.37 cm   |
| Polarisation           | H & V (polarimetric mode optional)  |
| Integration Time       | 1.2 seconds   |
| Spatial resolution     | 35 km at centre of field of view  |
| Tilt angle             | 32.5 degrees  |
| Radiometric resolution | 0.8 - 2.2 K   |
| Angular range          | 0-55 degrees  |
| Temporal resolution    | 3 days revisit at Equator   |
| Orbit                  | Sun-synchronous, dawn/dusk, circular orbit at altitude 755 km. 06.00 hrs local solar time at ascending node |



# Level I Prototype Processor (LI PP)



# Image Validation Tests



The Image Validation Tests (IVT) took place in the Summer of 2007 at the ESTEC's Anechoic Maxwell Chamber and provided a repository of real data to validate L1PP algorithms

The L1PP team was involved more in depth in the following tests:

## Instrument check

Test the calibration sequences and generate Auxiliary Data Files (ADFs) to be used during the subsequent campaigns.

## Stability Test

Analyse the correlations looking at the empty chamber ceiling in dual and full polarisation mode.

The image reconstructed with this observation permitted the analysis of a real flat scene (as opposed to SEPS-GS simulated data).

## Lamp Test

Validate L1PP by generating a near field image.

$$V_{kj}^{pq}(u, v) = \iint_{\xi^2 + \eta^2 \leq 1} \frac{F_{n,k}^p(\xi, \eta) F_{n,j}^{q*}(\xi, \eta) T_B^{pq}(\xi, \eta) - T_{rec} \delta^{pq}}{\sqrt{\Omega_k^p \Omega_j^q} \sqrt{1 - \xi^2 - \eta^2}} \tilde{r}_{kj} \left( -\frac{u\xi + v\eta + w\sqrt{1 - \xi^2 - \eta^2}}{f_0} \right) e^{-j2\pi(u\xi + v\eta + w\sqrt{1 - \xi^2 - \eta^2})} d\xi d\eta$$

## G and J<sup>+</sup> Matrices

The G matrix is the System Response function defined on a regular antenna grid and that transforms brightness temperatures to visibilities.

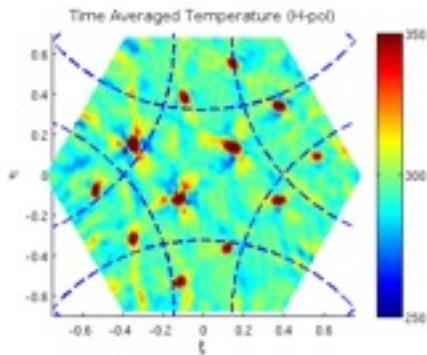
The J-matrix is obtained by changing the domain of the G-matrix and its pseudo-inverse, the J<sup>+</sup>, is responsible for the basic equation in the image reconstruction process  $T = J^+V$

## Flat Target Transformation (FTT)

Image produced by the instrument when observing a constant source (for example, the cold Sky). The Flat Target Response (FTR) will be used by the Image Reconstruction Module to reduce the errors introduced by to the Antenna Patterns

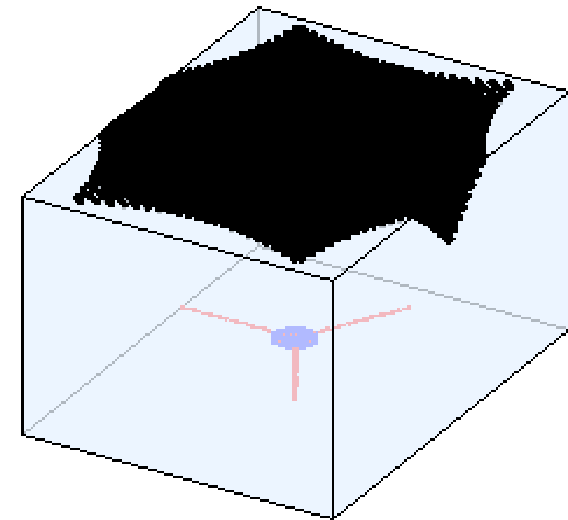
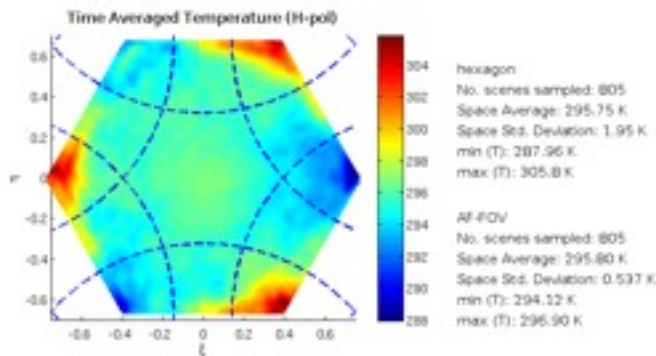
A Near Field Algorithm was derived in order to be able to image the (not-so) Empty Chamber.

- A new flavour of the System Response Function (G-matrix) computation was created to this particular case and it has been used through all the analysis of IVT data, providing excellent results.



Left: Lamps on the ceiling of the Maxwell Chamber

Bottom: Empty Chamber Imaging



Note that the edges of the hexagon are projected on the wall

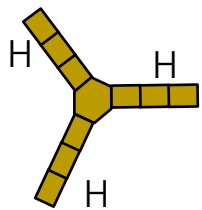
## Instrument Modes

### Dual Polarisation

During the 1.2 seconds of the scene acquisition time, all arms of MIRAS are in the same polarisation (being either H- or V-pol) producing what is called a Pure Scene.

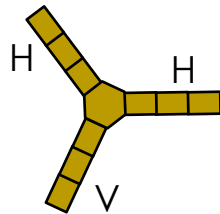
### Full Polarisation

The 1.2 seconds are split in intervals of 0.4 seconds where the instrument's arms are rotating their polarisation.



|     |   |   |   |
|-----|---|---|---|
| 1.2 | H | H | H |
| H   |   |   |   |
| H   |   |   |   |
| H   |   |   |   |

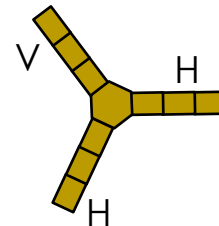
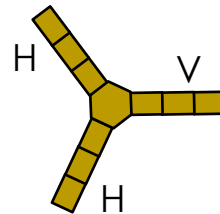
Pure H-pol Scene



|     |   |   |   |     |   |   |   |     |   |   |   |
|-----|---|---|---|-----|---|---|---|-----|---|---|---|
| 0.4 | H | H | V | 0.4 | V | H | H | 0.4 | H | V | H |
| H   |   |   |   | V   |   |   |   | H   |   |   |   |
| H   |   |   |   | H   |   |   |   | V   |   |   |   |
| V   |   |   |   | H   |   |   |   | H   |   |   |   |

|      |             |     |     |   |
|------|-------------|-----|-----|---|
| 1.2: | H-pol Scene |     |     | ✓ |
|      | 0.8         | 0.4 | 0.4 |   |
|      | 0.4         | 0.8 | 0.4 |   |
|      | 0.4         | 0.4 | 0.8 |   |

Mixed H-pol Scene



|      |             |     |     |   |
|------|-------------|-----|-----|---|
| 1.2: | V-pol Scene |     |     | ✗ |
|      | 0.4         |     |     |   |
|      |             | 0.4 |     |   |
|      |             |     | 0.4 |   |

Discarded V-pol scene

Repeat with the sequence **VVH, HVV, VHV** to obtain the next HV scene, as well as a Mixed V-pol scene and a discarded H-pol scene

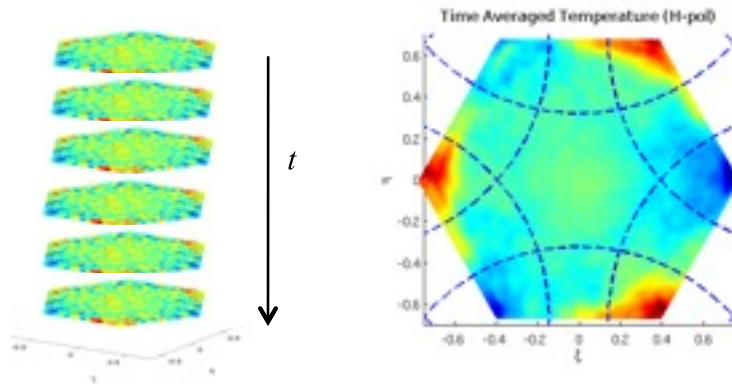
# IVT – Empty Chamber Analysis

The Empty Chamber tests are the equivalent to Flat Scene Scenarios generated by SEPS-GS.

They provide a way to validate the statistics expected for such case.

## 1. Time Averaged Temperature

$$\bar{T}^p(\xi, \eta) = \frac{1}{N} \sum_{n=1}^N T_n^p(\xi, \eta)$$



hexagon  
No. scenes sampled: 805  
Space Average: 295.75 K  
Space Std. Deviation: 1.95 K  
min (T): 287.90 K  
max (T): 305.8 K

AF-FOV  
No. scenes sampled: 805  
Space Average: 295.80 K  
Space Std. Deviation: 0.537 K  
min (T): 294.12 K  
max (T): 296.90 K

## 2. Space Standard Deviation

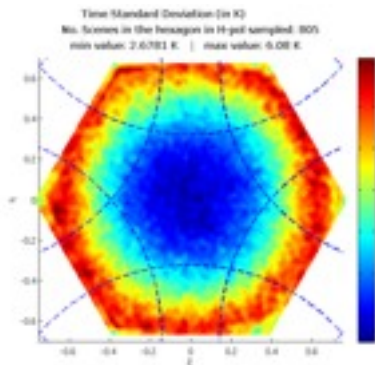
- Also corresponds to the Pixel Bias for a flat scene

$$\sigma[\bar{T}^p] = \sqrt{\frac{1}{|D|} \sum_{(\xi, \eta) \in D} (\bar{T}^p(\xi, \eta))^2 - \langle T^p \rangle^2}$$

## 3. Time Standard Deviation

- Also corresponds to the Radiometric Sensitivity

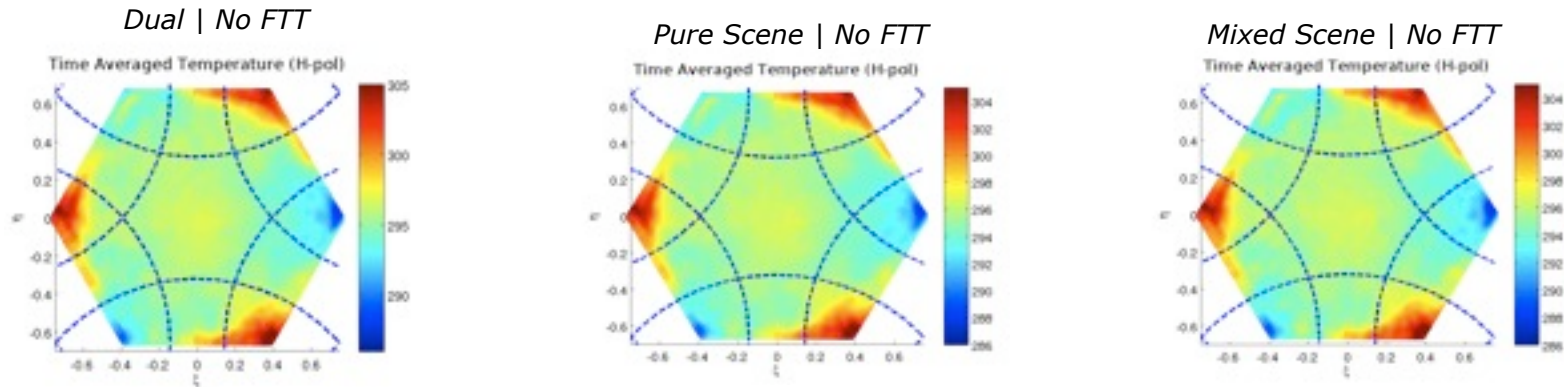
$$\bar{\sigma}^p(\xi, \eta) = \sqrt{\frac{1}{N} \left( \sum_{n=1}^N T_n^p(\xi, \eta)^2 \right) - \bar{T}^p(\xi, \eta)^2}$$



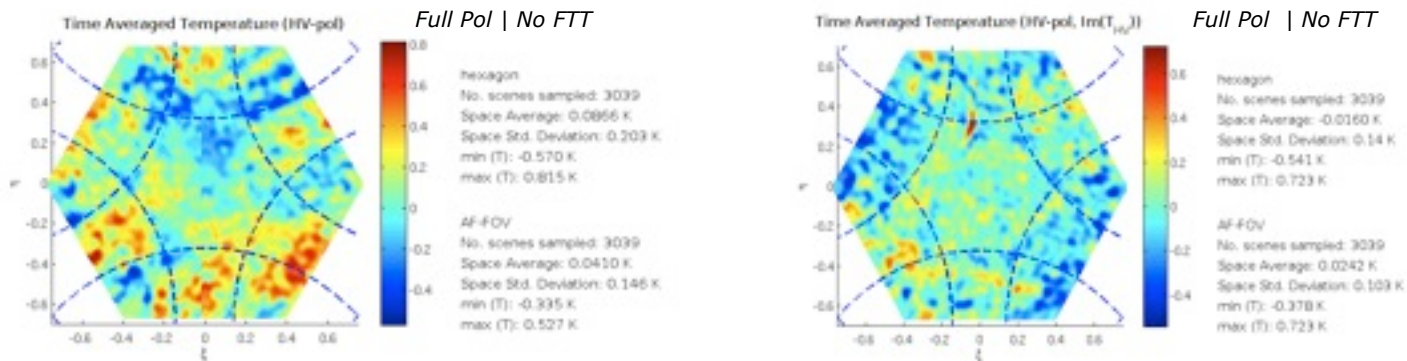
The Empty Chamber has been processed with several combinations of options in the image reconstruction algorithm.

However, some aspects are common in all of the results.

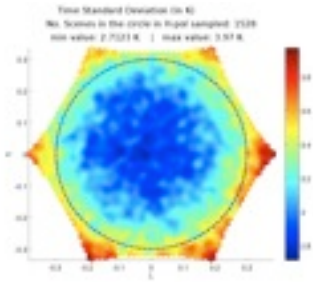
- \* Time Average Temperature map for Dual Pol scenes, Pure and Mixed scenes is the same



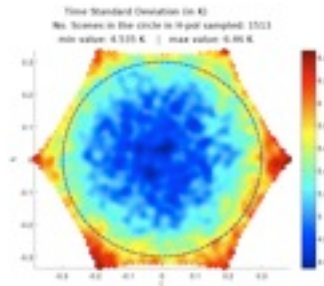
- \* The real and imaginary parts for  $T_{HV}$  image reconstruction resemble a random noise distribution.



Time Standard Deviation makes the distinction between Pure Scenes and Mixed Scenes

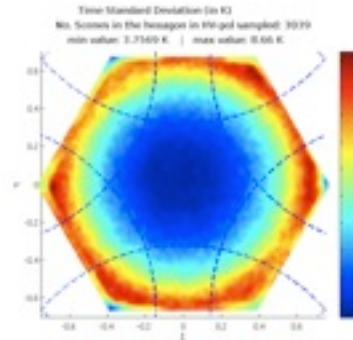


Pure Scene



Mixed Scene

Time Standard Deviation in the hexagon shows the effect of the changes needed to implement the Near Field algorithm. In the edges of the hexagon there is an increase of this statistic that is not noticeable in the Far Field imaging.



The limits of the Time Standard Deviation are associated with the Radiometric Sensitivity

| Algorithm                                | Instrument Mode |      |       |      |       |      |       |      |         |      |         |      |
|--|-----------------|------|-------|------|-------|------|-------|------|---------|------|---------|------|
|  | Dual            |      |       |      | Full  |      |       |      |         |      |         |      |
|  | H-pol           |      | V-pol |      | H-pol |      | V-pol |      | Re (HV) |      | Im (HV) |      |
|  | min             | max  | min   | max  | min   | max  | min   | max  | min     | max  | min     | max  |
| Near Field G/J <sup>+</sup> matrices     | 2.67            | 3.90 | 2.70  | 3.97 | 3.78  | 5.36 | 3.78  | 5.44 | 3.76    | 5.17 | 3.76    | 4.66 |
| Near Field J <sup>+</sup> Matrix and FTT | 2.67            | 3.97 | 2.70  | 3.96 | 3.78  | 5.36 | 3.77  | 5.44 | 3.76    | 5.17 | 3.76    | 4.66 |
| Far Field G/ J <sup>+</sup> Matrices     | 2.48            | 3.68 | 2.55  | 3.68 | 3.69  | 5.10 | 3.70  | 5.09 | 3.45    | 4.59 | 3.45    | 4.20 |

Results for the AF-FOV in good agreement with the expected values of 2.5-3K [SMOS-MRD]

It is known that “SMOS should provide an average radiometric resolution of 0.4 K (...) by averaging individual measurements both in space and time.” [SMOS-MRD].

The Space Standard Deviation of the Time Averaged Temperature for the following reconstruction configurations is:

| Algorithm                                | Instrument Mode |       |       |       |         |         |
|--|-----------------|-------|-------|-------|---------|---------|
|  | Dual            |       | Full  |       |         |         |
|  | H-pol           | V-pol | H-pol | V-pol | Re (HV) | Im (HV) |
| Near Field G/J <sup>+</sup> matrices     | 0.45            | 0.65  | 0.45  | 0.63  | 0.141   | 0.010   |
| Near Field J <sup>+</sup> Matrix and FTT | 0.31            | 0.37  | 0.31  | 0.37  | 0.141   | 0.010   |
| Far Field G/ J <sup>+</sup> Matrices     | 0.34            | 0.36  | 0.26  | 0.38  | 0.117   | 0.130   |

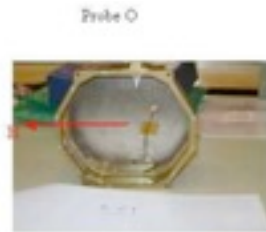
\* For the Near Field algorithm, the requirement is only obtained when applying the Flat Target Transformation

\* With the Far Field reconstruction, even leaving all the errors introduced by the antenna patterns, the **0.4 K of radiometric resolution is achieved by LIPP’s reconstruction** of a flat scene.

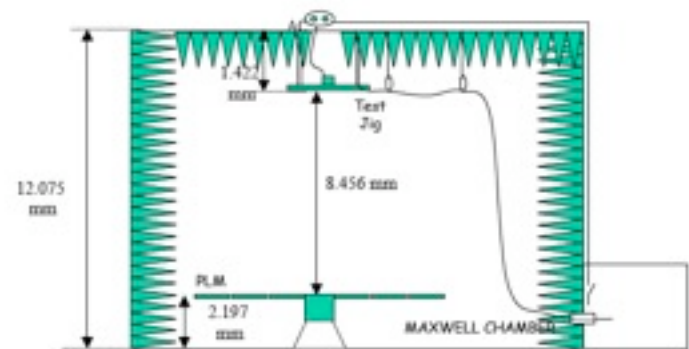
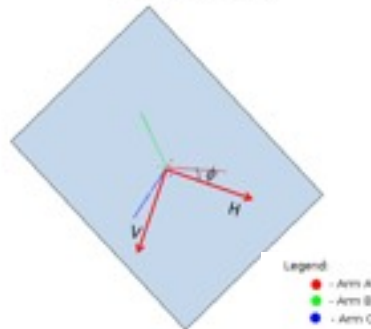
# IVT – Probe O Studies

The test jig installed on the roof allowed the deployment of a LICEF acting as an emitter that provided data for validation in several aspects

- $G/J^+$  matrices correctness: if the matrices are not properly focused on the test jig, then the resulting images would be blurred;
- Stokes parameters analysis: Aims to analyse instrument response to the total energy radiated



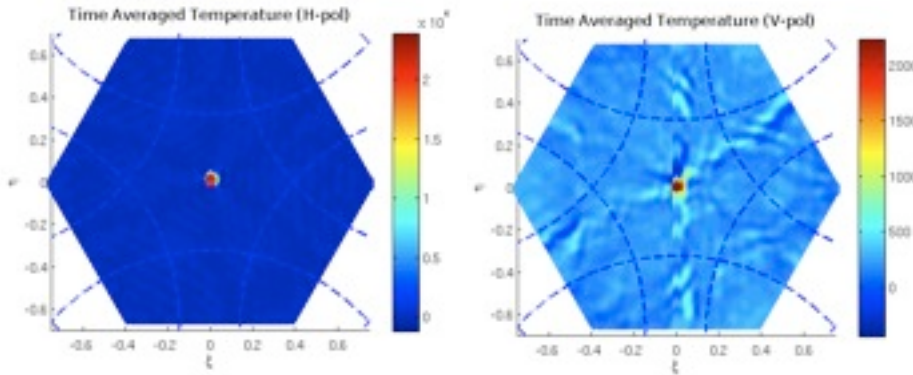
PLM viewed from the top



The orientation of the polarisation angle wrt the PLM permits a study of the expected vs. the received emission and a validation of conservation of energy

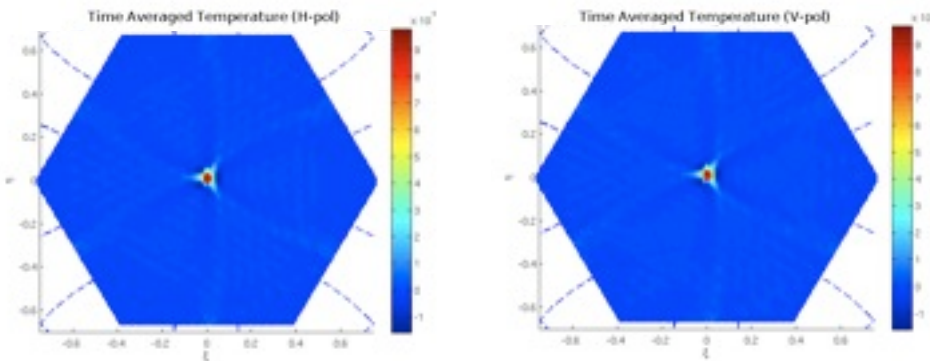
## Some Image Results

The following results are for Probe O at  $0^\circ$  using the  $G/J^+$  matrices focused on the test jig.



- \* H-pol image perfectly focused and no smearing in the image
- \* V- and HV show the same triangular structure

These are the results of Probe O rotated  $45^\circ$  but using  $G/J^+$  matrices focused on the room ceiling.



- \* Note that there is smearing since the probe is not focused;
- \* Although quantitatively the results are not correct, qualitatively it can be seen that the emission in H-pol is the same as in V-pol

## Stokes Parameters

The Stokes Parameters are used to characterise the polarisation state of electromagnetic radiation.

In Remote Sensing,  $T_h$ ,  $T_v$ ,  $Re(T_{hv})$  and  $Im(T_{hv})$  can be used to write the Stokes parameters as:

$$I = T_v + T_h$$

$$Q = T_v - T_h$$

$$U = 2 \operatorname{Re}(T_{HV})$$

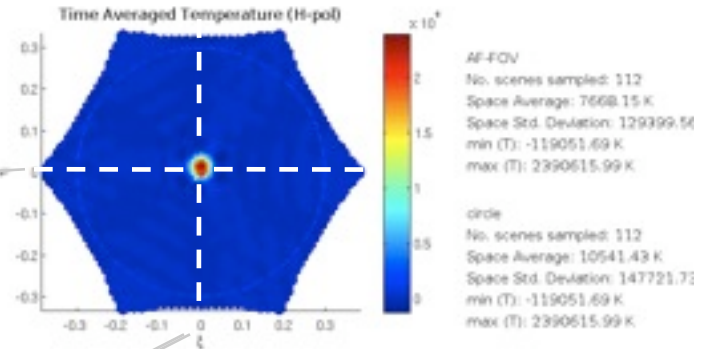
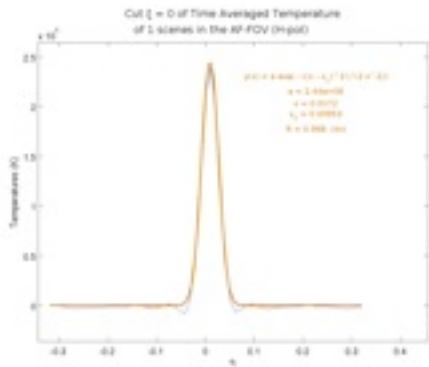
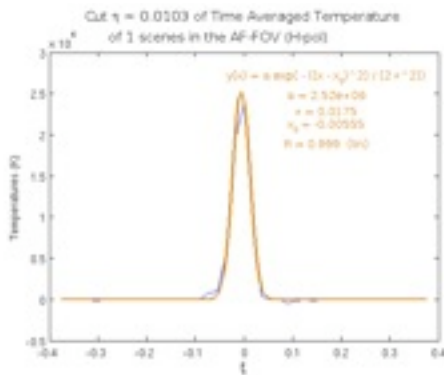
$$V = 2 \operatorname{Im}(T_{HV})$$

Only three out of these four parameters are independent, forming an equation of conservation  $I^2 = Q^2 + U^2 + V^2$

**LIPP provides this set of temperatures** and with them the Stokes Parameters analysis can be done.

## Probe Analysis Methodology

Taking 2D cuts of  $(\xi, \eta)$  where  $T = T_{max}$  a Gaussian fit is applied to the temperature distribution



Assuming that the distribution is symmetrical, a circular area defined by

$$(\xi - \xi_{\text{ProbeCentre}})^2 + (\eta - \eta_{\text{ProbeCentre}})^2 < 3(2\sqrt{2 \ln 2} \sigma)^2$$

is removed from the Time Averaged Temperature and the remaining temperature map is studied.

The symmetrical statement allows us to estimate  $\sigma$  as

$$\sigma = \text{avg} \{ \sigma_{\xi=\text{ProbeCentre}}, \sigma_{\eta=\text{ProbeCentre}} \}$$

Using the peak values taken from the Gaussian fits, the Stokes parameters are calculated and the equation of conservation it can be seen that

$$I^2 = Q^2 + U^2 + V^2 \quad \rightarrow$$

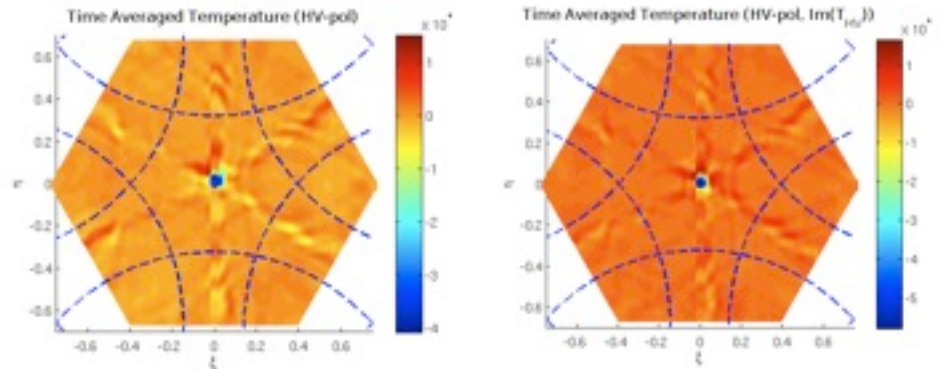
| Polarisation | $I^2$                 | $Q^2 + U^2 + V^2$     | $I^2 - (Q^2 + U^2 + V^2)$ |        |
|--------------|-----------------------|-----------------------|---------------------------|--------|
| 0°           | $6.16 \times 10^{12}$ | $6.16 \times 10^{12}$ | $-6.60 \times 10^8$       | ~0.01% |
| 45°          | $6.48 \times 10^{12}$ | $6.65 \times 10^{12}$ | $-1.79 \times 10^{11}$    | ~2.62% |
| 90°          | $6.20 \times 10^{12}$ | $6.19 \times 10^{12}$ | $1.79 \times 10^9$        | ~0.27% |

\* The differences between  $I^2$  and  $Q^2 + U^2 + V^2$  can be assumed as residual in the 0° and 90° case;

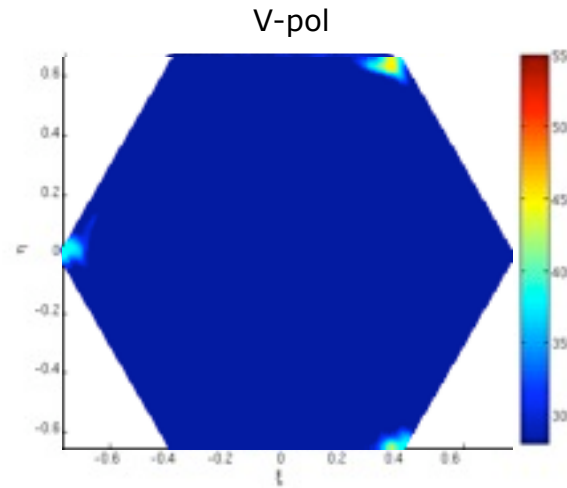
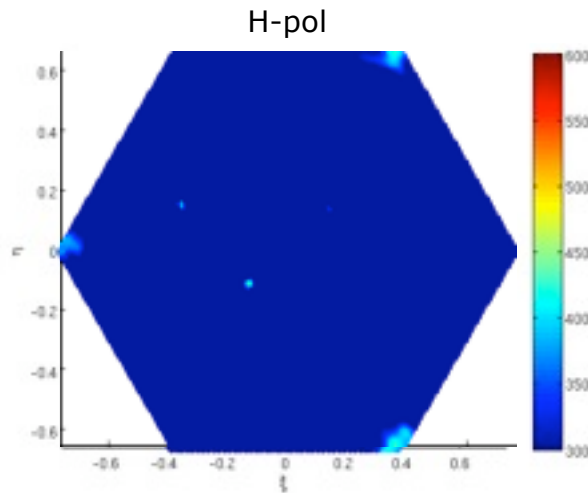
\* At 45° it still is a very low %, but NOT if compared to its pairs.

For completion, the Full Pol images for Probe O at 0° using the G/J+ matrices focused on the test jig.

Though the Probe is placed at 0°, there is some emission in HV-pol

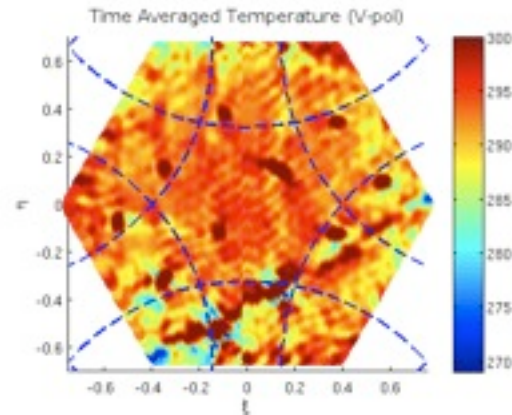
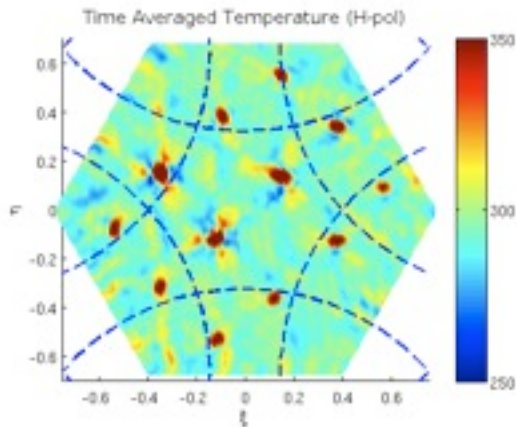


## Lights On



Real time imaging of the scenes

\* Gibbs effect



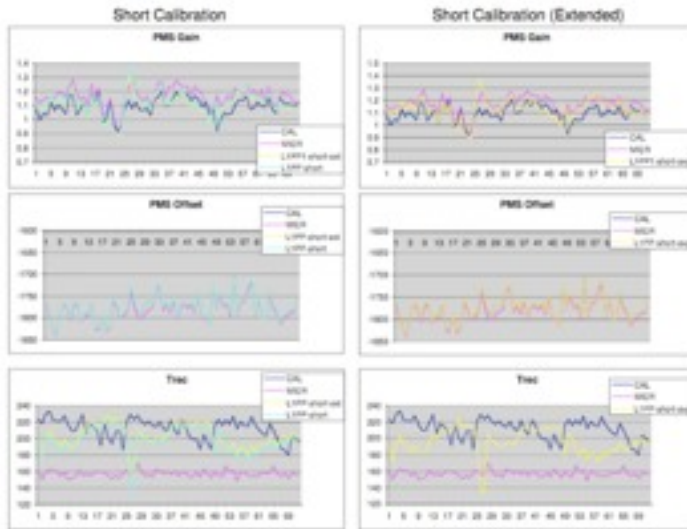
\* Images scaled to reasonable values, consistent with the Empty Chamber;

\* **Gibbs effect** between the Lamps and the Ceiling is enough to **contaminate the background** -> no Gibbs analysis performed.

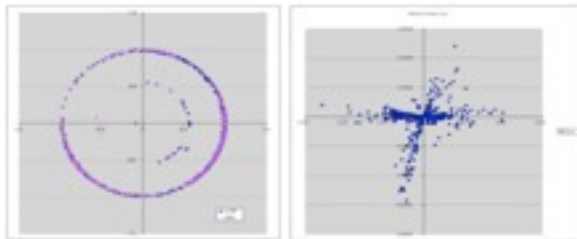
# Commissioning Phase

During the IOCP the L1PP team will be involved in several tasks.

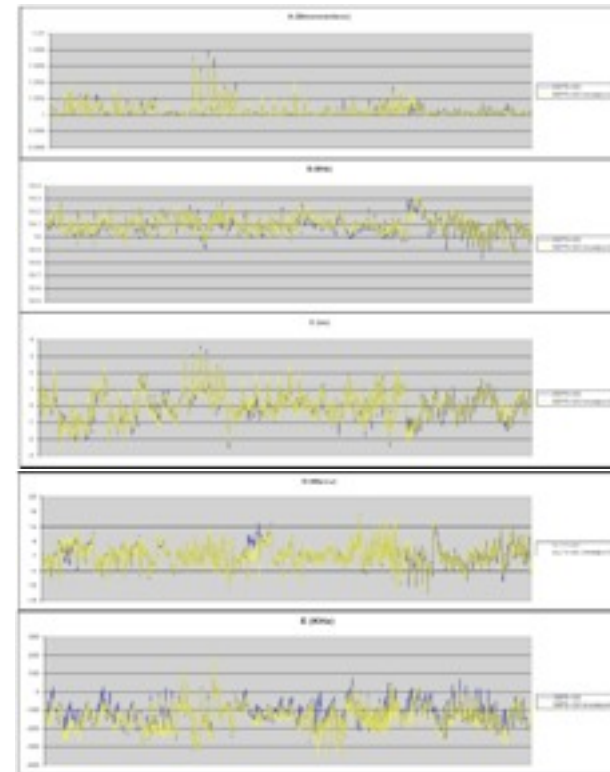
As in the IVT campaigns, Deimos Engenharia will be involved in validations in **L1a** algorithms, calibration sequences and overall results.



Example of PMS calibration comparison



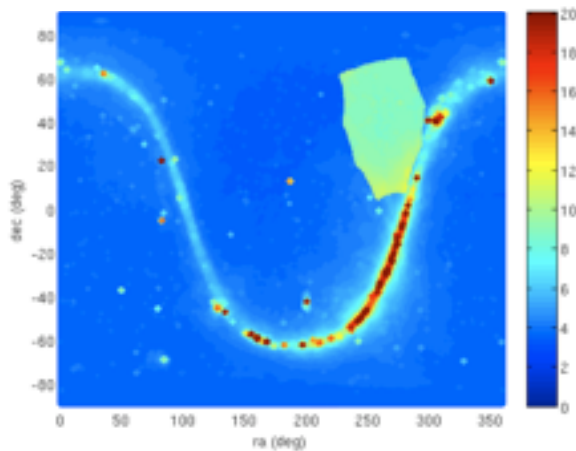
Example of FWF(0) correlations comparison



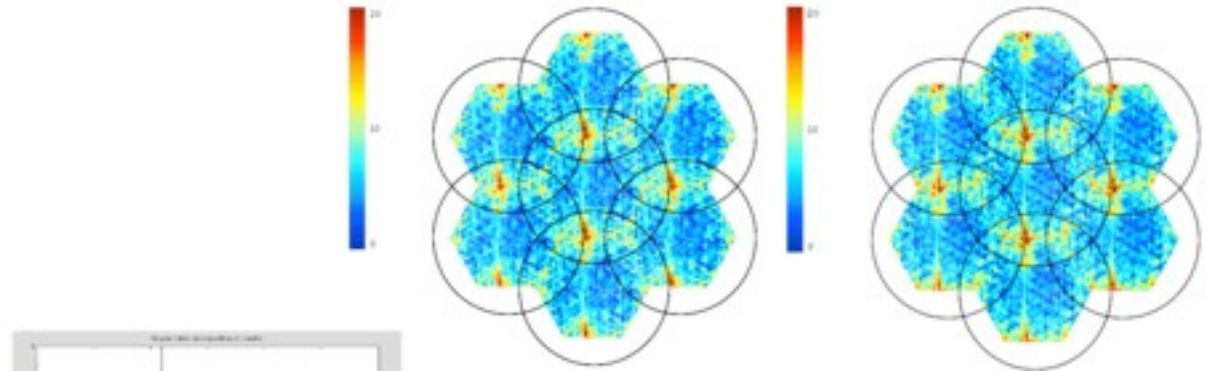
Example of FWF shape coefficients comparison

For the **L1b** module, the L1PP team is responsible for:

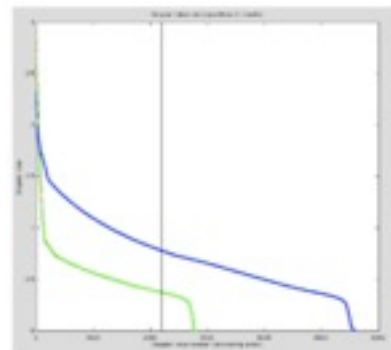
- \* Provide ADFs, namely the  $G/J^+$  matrices and the FTI, as soon as calibration data exists;
- \* Compute a rough estimate of MIRAS' accuracy through the use of a Galaxy Map Comparison Tool by inspecting the differences from an L1b scene against the Galaxy Map;
- \* Assess if the performances of the Foreign Sources removal are as expected.



300 Scenes and the Galaxy Map ADF



Example of L1b Inverse Fourier Transform

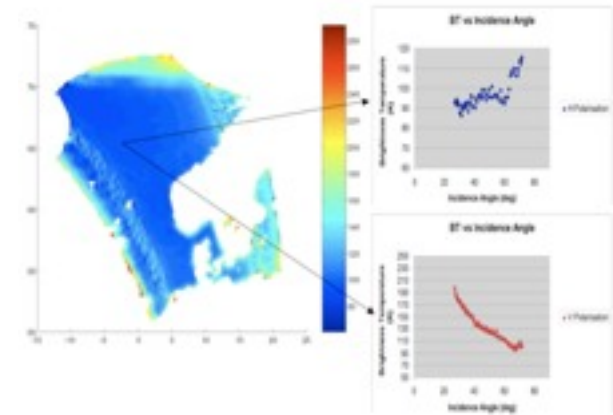


Example of SVD plots

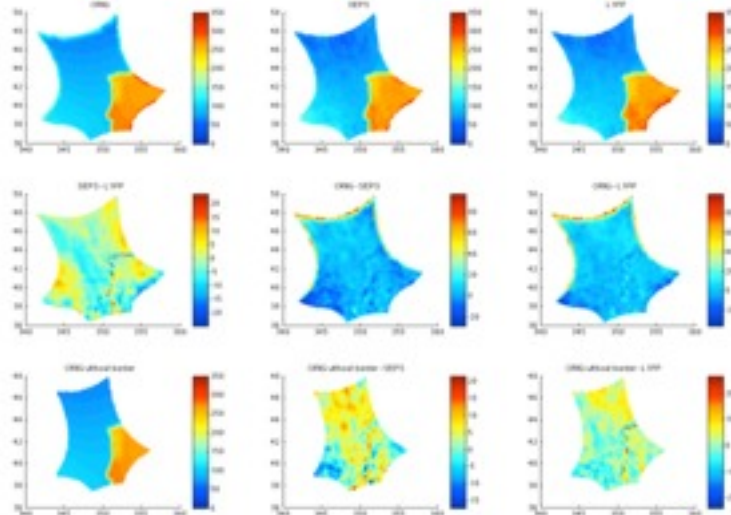
In the Geolocation process (**L1c**) the L1PP team will:

- \* Be involved in scientific studies with L2 teams;
- \* Perform studies to estimate the errors in brightness temps
- \* RFI analysis.
- \* Comparison with Forward Models;

If so, similar plots as the ones performed now for L1PP-SEPS-GS cross-validation are possible, showing scene bias, pixel bias, AF-FOV analysis and histograms.

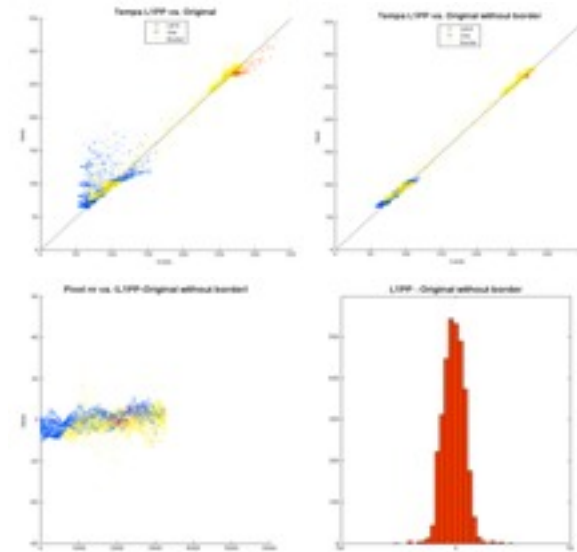


Example of L1c pixel plots



Example of a Dual Pol scene

Study performed comparing the Simulated scene with the reconstructed one using Flat Target Transformation [L1PP 1610 test scenario]



Thank you